

Audit and Governance Committee Work Programme

Forward Plan

Chairman: Cllr David Harris
Vice Chairman: Cllr Clare Sutton

All items that have been agreed for coverage by the Committee have been scheduled in the Forward Plan accordingly.

Date of Meeting		Item	Purpose / Key Lines of Enquiry (KLOE)	Lead Member/Officer
21 January 2019	1	<u>Financial Management Report</u>	To consider and comment upon the budget monitoring information including actions taken to address any overspend.	Jim McManus Chief Accountant
	2	<u>External Audit Plan</u>	To consider the External Audit Plan for the forthcoming year.	Darren Gilbert Director, KPMG
	3	<u>Report of Internal Audit Activity – Plan Progress 2018/19</u>	To receive a report on SWAP’s independent work and assess the Council’s risk, governance and control framework.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	4	<u>Treasury Management Year to Date Update</u>	To consider the update on treasury management 2017-18.	David Wilkes Finance Manager (Treasury and Investments)
	5	<u>Corporate Plan: Outcomes Focussed Monitoring Report</u>	To consider and comment upon the monitoring report for the quarter and agree any future actions with regard to the issues raised.	John Alexander Policy and Performance Manager
11 March 2019	1	<u>Financial Management Report</u>	To consider and comment upon the budget monitoring information including actions taken to address any overspend.	Jim McManus Chief Accountant
	2	<u>Internal Audit Plan</u>	To consider the Internal Audit Plan for the forthcoming year.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	3	<u>Report of Internal Audit Activity – Plan Progress 2018/19</u>	To receive a report on SWAP’s independent work and assess the Council’s risk, governance and control framework.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	4	<u>Corporate Plan: Outcomes Focussed Monitoring Report</u>	To consider and comment upon the monitoring report for the quarter and agree any future actions with regard to the issues raised.	John Alexander Policy and Performance Manager

Date of Meeting		Item	Purpose / Key Lines of Enquiry (KLOE)	Lead Member/Officer
	5	<u>Annual Audit Letter</u>	This report summarises the key findings from the external audit of Dorset County Council.	Darren Gilbert Director, KPMG
	6	<u>Draft Annual Governance Statement and Local Code of Corporate Governance</u>	To consider the Annual Governance Statement which sets out key features of the governance framework in place in the Authority and provides a review of its effectiveness.	Mark Eyre Senior Assurance Manager (Governance and Assurance)
Other draft items / issues identified for potential review				

Debbie Ward
Chief Executive
October 2018